

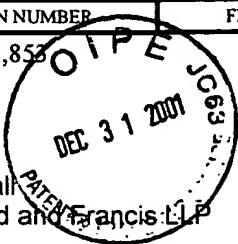


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,853	08/23/2001	John Ross JR.	UCAL082CON

Kathleen S. Hall
Bozicevic, Field and Francis LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025



CONFIRMATION NO. 1926

FORMALITIES LETTER



OC000000006549613

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

01/07/2002 TV0111 00000003 500815 09938853

01 FC:103 18.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/03/2002 AWOXDAF1 00000052 500815 09938853

01 FC:101 740.00 CH
02 FC:105 130.00 CH

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type) Cindy Hoang Signature [Signature] Date 11-16-2001



TRANSMITTAL

☐ Small Entity ☒ Large Entity

Application Number	09/938,853
Confirmation Number	1926
Filing Date	August 23, 2001
First Named Inventor	ROSS
Examiner	To Be Assigned
Group Art	1647
Attorney Docket No.	UCAL082CON

ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR § _____

☐ Pages _____

Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
Total					\$ -
Independent					\$ -
Multiple					
Total Extra Claim Fees					\$ -

☐ Applicants Petition for an _____ to _____ A _____ month extension was previously filed and paid for thereby reducing the basic fee

☒ Response to File Missing Parts (with copy of formalities letter)

☒ Filing Fee

Fee \$740.00

☐ Executed Declaration

Pages _____

Surcharge Fee \$ 130.00

☐ Other _____

Fee _____

Fee _____

Fee _____

Fee _____

Subtotal \$ 870.00

☐ Information Disclosure Statement

☐ PTO Form 1449

Pages _____

☐ _____ Copies of Cited References

☐ Other _____

Fee _____

Subtotal \$ -

☐ Response to Notice to Comply (with copy of Notice to Comply)

☐ Sequence Listing Certification

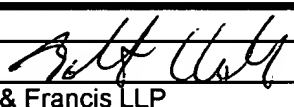
☐ Paper Copy of Sequence Listing

Pages _____

☐ Diskette in computer-readable format

☐ Other _____

Fee _____

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee \$ -
		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		
<input checked="" type="checkbox"/> Return Receipt Postcard		TOTAL FEES \$ 870.00
<p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p>		
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED		
Name (Print/Type)	Robert C. Hall	Registration No. 39,209
Signature		Date 11-16-2001
Firm Name	Bozicevic, Field & Francis LLP	Address 200 Middlefield Road, Suite 200
City	Menlo Park	State California zip 94025
Telephone - Direct Dial	650-327-3400	Facsimile 650-327-3231

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